

<b>Form PTO-1449 (Substitute) U.S. Department of Commerce Patent and Trademark Office</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)	<b>Application Number</b>	10/821,726
	<b>Filing Date</b>	April 8, 2004
	<b>First Named Inventor</b>	Michael Wayne Graham
	<b>Art Unit</b>	1635
	<b>Examiner Name</b>	Vivlemore, T.
	<b>Attorney Docket No.</b>	0687/74768-AAA /JPW/GJG/MJP

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	Amendment submitted May 11, 2009 in connection with U.S. Serial No. 11/179,504, filed July 13, 2005;	
	2	Final Office Action issued August 13, 2009 in connection with U.S. Serial No. 11/179,504, filed July 13, 2005;	
	3	Final Office Action issued May 15, 2009 in connection with U.S. Serial No. 10/346,853, filed January 17, 2003;	
	4	Amendment submitted September 24, 2009 in connection with U.S. Serial No. 10/759,841, filed January 15, 2004;	
	5	Notice to the applicant regarding a non-compliant or non-responsive amendment issued September 4, 2009 in connection with U.S. Serial No. 10/821,726, filed April 8, 2004;	
	6	Communication issued May 21, 2009 in connection with U.S. Serial No. 11/218,999, filed September 2, 2005;	
	7	Response to Communication submitted June 22, 2009 in connection with U.S. Serial No. 11/218,999, filed September 2, 2005;	
	8	Supplemental Response To March 30, 2009 Amendment Filed In Response To September 30, 2008 Office Action filed August 4, 2009 in connection with U.S. Serial No. 11/218,999, filed September 2, 2005;	
	9	Amendment submitted July 15, 2009 in connection with U.S. Serial No. 10/646,070, filed July 13, 2005;	
	10	Amendment submitted May 11, 2009 in connection with U.S. Serial No. 10/646,070, filed July 13, 2005;	
	11	Office Action, issued June 9, 2009 in connection with U.S. Serial No. 10/646,070, filed July 13, 2005;	
	12	Appeal Brief submitted July 27, 2009 in connection with Merged Reexamination Nos. 90/007,247 and 90/008,096, filed October 4, 2004 and May 18, 2006, respectively ;	

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	13	Amendment submitted July 15, 2009 in connection with U.S. Serial No. 10/571,384, filed June 1, 2006;	
	14	Office Action issued May 11, 2009 in connection with U.S. Serial No. 09/287,632, filed April 7, 1999;	
	15	Examiner Interview Summary Record (PTOL - 413) issued August 12, 2009 in connection with U.S. Serial No. 11/364,183, filed March 1, 2006.;	
	16	Notice to the applicant regarding a non-compliant or non-responsive amendment issued July 9, 2009 in connection with U.S. Serial No. 11/364,183, filed March 1, 2006.;	
	17	Supplemental Response or Supplemental Amendment submitted August 10, 2009 in connection with U.S. Serial No. 11/364,183, filed March 1, 2006.;	
	18	Office Action issued May 12, 2009 in connection with U.S. Serial No. 11/607,062, filed December 1, 2006;	
	19	Amendment submitted July 6, 2009 in connection with U.S. Serial No. 11/841,737, filed August 20, 2007;	
	20	Non-Final Rejection issued August 12, 2009 in connection with U.S. Serial No. 11/841,737, filed August 20, 2007;	
	21	Restriction Requirement issued May 4, 2009 in connection with U.S. Serial No. 11/841,737, filed August 20, 2007;	
	22	Giering J.C., et al. (2008) "Expression of shRNA from a tissue-specific pol II promoter is an effective and safe RNAi therapeutic," Mol Ther. 16(9):1630-6;	
	23	Ruiz F, Vayssié L, Klotz C, Sperling L, Madeddu L. (1998) "Homology-dependent gene silencing in Paramecium," Mol Biol Cell. 9(4):931-43.;	
	24	Sánchez Alvarado A, Newmark PA. (1999) "Double-stranded RNA specifically disrupts gene expression during planarian regeneration," Proc Natl Acad Sci U S A. 96(9):5049-54. ;	

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	25	Song J., et al. (2004) "Poly(U) and polyadenylation termination signals are interchangeable for terminating the expression of shRNA from a pol II promoter," Biochem Biophys Res Commun. 323(2):573-8;	

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